

[8 September 2022] Agenda Pack - Audit and Risk Assurance Committee

MEETING
8 September 2022 10:30

PUBLISHED
5 September 2022

WEBSITE

Agenda

<i>Location</i>	<i>Date</i>	<i>Owner</i>	<i>Time</i>
G1/G2, BTP FHQ, 25 Camden Road, London, NW1 9LN	8/09/22		10:30
1. Apologies		Chair	10:30
2. Declarations of Interest		All	
3. Minutes		Chair	
3.1. 5 July 2022			
3.2. 23 June 2022			
4. Actions		Chair	
4.1. [Background Pack] Action 4/2022 - Fraud Risk Assessment Timeline		Chair	
4.2. [Background Pack] Action 6/2022 - PEEL Assessment Framework		Chair	
5. BTP/A Joint Update on Risk Management		Joint Force/Executive	10:45
6. BTP/A Joint Strategic Risk Register		Joint Force/Executive	11:00
7. BTP/A Fraud Update Q1 2022/23		Finance and Audit Manager	11:10
8. Chief Financial Officer's Report		Chief Financial Officer	11:15
8.1. Assurance Map		Finance and Audit Manager	11:30
9. Manchester Arena Inquiry Update		Assistant Chief Constable	11:35
10. Deputy Chief Constable's Report		Deputy Chief Constable	11:50

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G1/G2, BTP FHQ, 25 Camden Road, London, NW1 9LN	8/09/22		10:30
11. Verbal Update - HMICFRS PEEL Inspection Results Phase 2		Deputy Chief Constable	12:05
12. Action 5/2022: Perfect Storm		Director of Finance and Commercial Services	12:10
13. GIAA Internal Audit Progress Report/Half Year Review		GIAA	12:15
13.1. BTP Organisational Assessment Inspection - Vetting		Chief Financial Officer	
14. [Background Pack] GIAA Internal Audit Report - Physical Security		GIAA	
15. [Background Pack] GIAA Report Supplement		GIAA	
16. Committee Workplan 2022/23		All	12:30
17. Any Other Business		All	
18. Meeting Evaluation		Graham Evans	12:35

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AUDIT AND RISK ASSURANCE COMMITTEE - ACTIONS

Item Ref	Date	Topic	Action/Paper	Owner	Due Date	Outcome
4/2022	23 June 2022	BTP/A Fraud Update Q4 21/22	The Force agreed to confirm the timeline of pending fraud risk assessments.	Head of Audit and Assurance	8 September 2022	Recommended for Closure Timeline provided in background papers
5/2022	23 June 2022	Deputy Chief Constable's Report	In response to a question, the Director of Finance and Commercial Services noted that the three risks alluded to by Finance Assurance Board were Brexit, the war in Ukraine, and the cost of living crisis. The Director agreed to provide a paper to the September 2022 meeting outlining what action was being taken to mitigate these risk	Director of Finance and Commercial Services	8 September 2022	Recommended for Closure Agenda item at 8 September 2022 meeting
6/2022	23 June 2022	Deputy Chief Constable's Report	In response to a question, the Head of Audit and Assurance replied that the forthcoming PEEL Organisational Assessment would take a broad look at strategic workforce planning, HR processes and vetting. The high level of the assessment could be provided to Members.	Head of Audit and Assurance	8 September 2022	Recommended for Closure Timeline provided in background papers

Audit and Risk Assurance Workplan 2022/23

Meetings	Items	Output
Standing Items	<ul style="list-style-type: none"> Welcome, introductions and conflict declaration Minutes of previous meeting Matters arising/actions outstanding Risk Register Assurance Map Fraud report BTPA CFO Quarterly Update, <i>to include pensions, income, cash flow and any other Financial Control areas to raise</i> BTP DCC Quarterly Update, <i>to include inspection results, crime & incident audit exceptions and escalations from ARIC / other Force oversight boards</i> Manchester Arena Internal audit update & reports, <i>including SLAs and monitoring completion of GIAA agreed actions</i> Reflection on effectiveness of meeting Workplan 	<ul style="list-style-type: none"> Minutes Summary for Authority meeting
November 2022	<ul style="list-style-type: none"> Reserves Policy Anti-fraud and whistleblowing policies/processes review Statutory appointment of the Comptroller and Auditor General as External Auditor endorsed External Audit Plan Annual statement on data assurance 	<ul style="list-style-type: none"> Approval of updated reserves policy Approval of updated fraud and whistle-blowing policies Approval of external audit plan
March 2023	<ul style="list-style-type: none"> Strategic Risk Deep Dive – Contract Management Work plan for 2023/24 Management Assurance Return (MAR) Functional Standards Review (<i>if separate to the MAR</i>) GIAA Plan 2023/24 HMICFRS 2023/24 Preliminary External Audit findings from interim work HMIC PEEL inspection results (Phase 3) 	<ul style="list-style-type: none"> Approval of work plan Approval of training plan Approval of MAR submission Approval of Internal Audit Plan

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Other responsibilities to be programmed or for the future

- Risk documents review – appetite and universe – for Full Authority

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