



Report to: Audit & Risk Assurance Committee
Agenda item: 6.2
Date: 3 March 2016
Subject: BTP Strategic Risk Register
Sponsor: Deputy Chief Constable
For: Information

1. PURPOSE OF PAPER

1.1. To advise members of changes to the British Transport Police (BTP) Strategic Risk Register (SRR) since the last submission to the Audit and Risk Assurance Committee (A&RAC) in December 2015. The SRR is attached at Appendix A and was reviewed by the Integrity and Compliance Board (ICB) on 17 February 2015. Following ICB, risk owners have been invited to review and update their respective risk entries.

2. RISK MANAGEMENT AND CORPORATE GOVERNANCE

2.1 Progress with risk management is reported to A&RAC on a quarterly basis to provide members with oversight of the management of risks within BTP and to direct where changes to current approaches might usefully be considered. This includes the management of specific risks and the methodology that underlies the identification of appropriate actions and controls that either mitigate or prevent unnecessary or unreasonable risks. The format of the SRR has been updated to include high level summaries of planned actions and existing controls following feedback from A&RAC.

3. UPDATE ON THE MANAGEMENT OF STRATEGIC RISKS

3.1 Significant updates to the existing strategic risk entries include:

SR80 – Funding for the Suicide Prevention and Mental Health Teams – The risk rating has been reduced following draft agreement with Network Rail to emplace an Enhanced Police Service Agreement for 2016-19 that will support the work of the Suicide Prevention and Mental Health team. Once this agreement is signed, the risk owner will be asked whether the risk entry should be considered as business as usual.



SR83 – Integrated System Programme (Core Elements) – The Core Elements of the ISP, Crime and Intelligence are due to go live in late February. In order to support this, a moratorium on IT changes has been imposed around the go-live date. Following the implementation date, the risk rating for this risk will be able to be reduced ahead of closure with finalisation of the End of Project Review. The elements of the programme relating to Command and Control have been made the subject of a separate risk entry. At ICB, members discussed whether a post-ISP risk of being able to upload BTP information to the Police National Database should be added to the register. This is explored in more detail in paragraph 4 below.

SR84 – Compliance with MoPI – This risk is directly affected by the preceding risk entry. Information held on BTP's Crime and Intelligence systems has been migrated to the NICHE platform and is due to go live in late February 2016. A Data Quality Team will be set up to ensure that BTP information is compliant with relevant data standards going forward and an updated Review, Retention and Disposal process will be established for paper records retained beyond the end of Operation Canberra which is due to end in March 2016.

- 3.2 Five new risks have been added to the Strategic Risk Register since the last review by Integrity and Compliance Board. These are:

SR88 – ISP Command and Control – This is the new risk resulting from the splitting of the original ISP risk described under risk entry SR83 higher in this paper. The project team are awaiting BTPA to authorise the business case for an Events Management module. Pending approval, the programme is on course to complete in July 2016.

SR89 – Management of the ESMCP Programme – This risk has been added to the SRR at the direction of DCC Hanstock in recognition of the uncertainty that exists around the planned replacement for Airwave. The current Home Office plan for cutover from the existing service to the proposed service extends from 2017 to the end of 2019 with sequential activation by area extending across Great Britain in this time. This may entail the need for BTP officers to use devices which have the capability to use both the old and

the new system with the attendant costs of buying devices with this functionality. There is also the possibility that Airwave coverage is lost where a county switches to the new system and BTP has not yet.

SR90 – Contractual Dispute with VDI Supplier - This risk has been added to the SRR following delays to the VDI project that was originally to have delivered in November 2015. BTP has written to the supplier disputing payment milestones, but has not yet received a response. ICB asked the question as to whether this risk should be retained as a Strategic risk given the relatively low cost of the contract, against the delivery of VDI being regarded as a key enabler of efficiencies to be delivered further down the line.

SR91 – Pending Obsolescence of Applications held on the Pegasus server – This risk has been identified by the Director of Intelligence following notice that the parent company for the Pegasus suite of applications has ceased trading. Early indications are that the NICHE platform will not be able to provide a replacement meaning that BTP will have to go to the market to purchase a replacement. ICB members noted the early identification of this risk and expressed confidence that it might not need to be escalated further.

SR92 – Failure to recruit a third Assistant Chief Constable

This risk has been added to the SRR following delays in recruiting to the vacant third ACC post. Failure to recruit a third ACC will detrimentally impact on the Chief Officer Group's capacity to provide operational direction and resilience, manage risk, critical decision making, manage performance, exercise financial control and ensure strong organisational governance.

4. RECOMMENDATIONS

- 4.1. That members note the amendments to the SRR and the update on risk management.