



Report to: British Transport Police Authority Audit & Risk Assurance Committee

Agenda item: 8

Date: 5 March 2015

Subject: HMIC Inspection Status Update

Sponsor: Deputy Chief Constable

For: Information

1. PURPOSE OF PAPER

1.1 To provide the British Transport Police Authority (BTPA) Audit & Risk Assurance Committee with an update in relation to Her Majesty's Inspectorate of Constabulary (HMIC) activity.

2. BTP INSPECTION STATUS UPDATE

2.1 Stop and Search

2.1.1 Progress is being made in relation to the implementation of the Best Use of Stop and Search Scheme. Of significant importance the following should be noted:

- The new FIS stop and search page went live on 31st December 2014. It captures all the data required by the Home Office. Some minor layout adjustments will be made but this will not require any specific guidance for users.
- In relation to the development of BTP's lay observations policy comprehensive planning and supportive documentation is required to manage the risks associated with members of the public accompanying police officers on patrol. To that end more time is being allocated to introduce this aspect of the scheme, the target date to go live for this aspect of the scheme is the end of February 2015. It should be noted that in the first instance members of the community consultation group will be asked to participate in the scheme.
- The process in relation to 'community complaints' will be managed jointly by PSD and FHQ Territorial Policing Support. The process will make allowances



for each compliant in relation to a stop & search encounter to be scrutinised by BTP Community Consultation Group. At present the process is yet to be formalised with a standard operating procedure. It should be noted that the force receive very few complaints from encounters and once the first complaint has been received this area will be developed.

2.1.2 Full details in relation to progress against the Improvement Plan can be found at Appendix A. The improvement plan attached was reviewed at ICB in February 2014.

2.1.3 Stop & Search Revisit (Police Powers to Stop) - The revisit took place in January 2015. HMIC checked progress against previous recommendations which were part of their 2012/13 national inspection programme. In addition activity was also broadened to look at the following objectives:

1. powers that the police can use to stop people, such as Section 163 of the Road Traffic Act 1988, in order to establish that they are being used effectively and fairly;
2. how forces in England and Wales compare with overseas jurisdiction, both in terms of the powers available and the way they are used; and
3. Examination of the use of search powers involving the removal of more than a person's outer clothing, including strip searches, to identify whether these searches are lawful, necessary, and appropriate.

2.1.4 Initial feedback provided to BTP was generally positive with HMIC highlighting a number of positive messages in relation to complaints being shared with the Community Consultation Group, quarterly meetings i.e. Op Jupiter Gold Group and Stop and Search Steering Group, and the knowledge of officers (HMIC undertook a knowledge test on stop and search powers for each focus group; the lowest score was 5 out of 7). HMIC discussed a number of areas for improvement; of significant importance the following was highlighted:

- Strip Searches – clarity required around strip searches when more than outer clothing has been removed. In addition although BTP has the ability to



identify details of every encounter via FIS, this is not widely known and the control room is not always aware

- PSD has not issued guidance in relation to complaints as to what can be disclosed at the community consultation group meetings.

2.2 Inspection of Police Custody Suites

2.2.1 The Strategic Custody Forum is operational following changes to personnel. The forum will be chaired by the Head of Offender Management on behalf of ACC B Division and Crime. The terms of reference covers progress against the remaining recommendations in the improvement plan, complaints, and service recovery to name a few.

2.2.2 Progress is being made in relation to introducing an internal peer review process. BTP have engaged with the City of London Police and service users In Spirit to take part. Currently issues in relation to vetting and scoping the extent of their involvement is being discussed.

2.2.3 Details in relation to progress against the Improvement Plan can be found at Appendix B. It is envisaged that all outstanding elements of the plan will be complete by mid March 2015. The improvement plan attached was reviewed at ICB in February 2015. The Improvement Plan has also been submitted to HMIC/P on the 16 February 2015.

2.3 Crime Data Integrity

2.3.1 Good progress is being made in relation to the implementation of recommendations. Of significant importance the following progress has been made against the plan:

- Policy and procedural guidance – communications have been dissemination to reiterate the process for dealing with all rape offences, which will be supervised by an officer of the rank of Detective Inspector or above. Additionally, every rape offence will be recorded as a rape on the crime system at the earliest opportunity with any cases transferred to the Home Office Force in accordance with the National Crime Transfer guidelines. This information will be included in the BTP SOP for managing seriously sexually motivated crimes, which is currently being reviewed.



- BTP has developed an internal audit action plan to address areas for improvement from the monthly internal CRIME audit findings which will be monitored via Contact Management.
- Out-of-court-disposals – Offender Management will introduce Scrutiny Panels comprising of independent experts to review out-of-court-disposals and ensure options and outcomes comply with national standards. As part of the Offender Management Reform Project, the force will be introducing an Evidence Review Gateway that will have responsibility for dip sampling a percentage of all disposals.

2.3.2 Full details in relation to progress against the Improvement Plan can be found at Appendix C. The improvement plan attached was reviewed at ICB in February 2015.

2.3.3 It should be noted that the national recommendations from this inspection are currently being incorporated into BTP's improvement plan. At the next Home Office working group for Force Crime Registrars, progress against the national recommendations will be discussed and direction provided in relation to next steps.

2.4. Core Business

2.4.1 BTP has contacted the College of Policing in relation to several activities that the College is leading on to request key contacts and ensure that the Force is included and aligned to the work being undertaken, however, no response has been received to date. The DCC will formally write to Chief Executive Officer of the College of Policing in relation to this matter.

2.4.2 Of significant importance the following progress has been made against the plan:

- Performance Management – the Performance Management Project will deliver a new performance management process and e-system with a 'go live' date of 1 April 2015. The system will allow objectives to be set at an individual and organisational level. Four blocks of objectives will be set organisationally which will ensure individual objectives are linked to BTP outcomes and priorities.



- Crime prevention strategy – a national preventative policing strategy has been agreed by Op Trafalgar Steering Group, and is being implemented as part of the project. New model is a 4 Tier structure, which includes every uniform Police Officer and PCSO receiving enhanced Crime Reduction and Problem Solving training, to enable them to provide crime reduction advice and problem solve on patrol. Higher level issues will be escalated to the new Force wide Crime Reduction Department.
- Quality of Service – as part of a new Skills syllabus due to be launched in April 2015, new lessons will be introduced to support the “Make the Difference” Transformation Programme. This includes new courses for both new recruits as part of their initial programme as well as experienced officers for their 'Through Life' training that covers First Fix, Stopping Crime, Offender Management and Problem Solving.
- Offender Management – the process around the management of outstanding suspects who are wanted on warrant has been reviewed and has led to the introduction of a national spreadsheet which will be adopted by B and C Divisions. There will be a new risk matrix process that is aligned to the same process followed for the creation of the Integrated Offender Management. This will identify priority offenders and dictate levels of response by the Divisions.

2.4.3 The initial draft Improvement Plan can be found at Appendix D. The improvement plan attached was reviewed at ICB in February 2015.

2.5. Undercover Policing

2.5.1 Progress against the improvement plan will be monitored offline and not discussed in an open forum due to the sensitivities of tactics/operations. It should be noted that the findings from the Office of Surveillance Commissioners report has now also been incorporated into the plan and actions to be taken will be monitored accordingly.



2.6 HMIC Scotland (HMICS)

2.6.1 Stop & Search Inspection – HMICS undertook this inspection in January 2015. The format of the inspection involved a number of elements including:

- Documentation Review – Stop & Search Manual of Guidance, Pocket Notebook Guidance, Performance Data
- Fieldwork – Interviews with Officers and a Focus Group in Edinburgh
- Fieldwork – Interviews with Officers and a Focus Group in Glasgow including two key interviews with C Division Lead for Stop & Search and C Division Stop & Search Champion.

2.6.2 Crime Recording Audit – This inspection will now take place week beginning 8 March 2015. The inspection team will be reviewing data (theft, violent crime, sexual offences, non-crime related incidents, no crimes) from April 2014 – September 2014.

3. **MISCELLANEOUS REPORTS PUBLISHED BY HMIC**

3.1 Audit & Compliance monitor all national reports published by HMIC. Where BTP have not been involved in the relevant area of inspection these reports are disseminated to appropriate departments internally in order to ascertain BTP's current position, risk implications, and impact. Action Plans are put in place locally with recommendations reviewed and monitored at Force level via ICB and/or submitted to BTPA Audit & Risk Assurance Committee for informational purposes. It should be noted that since the last Committee meeting there has been one relevant policing report published by HMIC that require action by BTP as noted in the below table.

4. **RECOMMENDATION**

4.1 Members to note the update provided in this paper.

Summary of Reports -The below table summaries the reports published by HMIC since the last Audit & Risk Assurance Committee.

Report Title	Summary	BTP Position	Report recommendations to be progressed by BTP
Police Integrity and Corruption – Home Office Forces Individual Reports	<p>This inspection focused on the arrangements in place to ensure those working in police forces act with integrity. HMIC looked at the following principal areas:</p> <ul style="list-style-type: none"> - What progress has been made on managing professional and personal relationships since the re-visit in 2012? - What progress has the force made in communicating and embedding ethical and professional behavior to all staff? - How well does the force proactively look for, and effectively challenge and investigate misconduct and unprofessional behavior? - How well does the force prevent, identify and investigate corruption? 	<p>Each report makes recommendation for its relevant police force about the next steps which may be taken to improve further its policies and practices around integrity.</p> <p>BTP currently await detailed force feedback report for factual accuracy checking.</p>	No
Crime Inspection 2014 – Home Office Forces Individual Reports	<p>This inspection focused on how effective police forces are at cutting crime. The crime inspection provided HMIC with the first opportunity to test whether each force's approach to improving how it tackles domestic abuse is beginning to have an effect and forms part of our overall assessment of the force.</p>	<p>This formed part of HMICs PEEL effectiveness pillar. Each report identifies the judgements for its relevant police force.</p> <p>BTP is not currently being inspected as part of PEEL.</p>	No
South Yorkshire – Joint inspection of police custody suites	<p>This report is one of a series on police custody inspections carried out jointly by HMIC and HMI Prisons. The inspection looks at strategy, treatment and conditions, individual rights and health care.</p> <p>N.B. Where good practice is found on an inspection it is collated in a good practice document published by HMIC. This document is regularly updated.</p>	<p>Custody Directorate has been made aware of HMIC's Police Custody: good practice examples which they review accordingly.</p>	No



Report Title	Summary	BTP Position	Report recommendations to be progressed by BTP
An inspection on the effectiveness and efficiency of the single counter-terrorism grant in the East Midlands region	<p>Inspection of the effectiveness and efficiency of the single counter-terrorism grant arrangement at the East Midlands Special Operations Unit.</p> <p>The East Midlands region comprises the police forces of Derbyshire, Leicestershire, Lincolnshire, Northamptonshire and Nottinghamshire.</p>	Not relevant to BTP.	No
Greater Manchester Police inspected on child protection	The inspection examined child protection in Greater Manchester Police in July 2014. This forms part of the rolling programme of child protection inspections of all Home Office Forces in England and Wales.	Circulated to Crime.	No
Achieving best evidence in child abuse cases – a joint inspection	This report sets out the findings from HMICs inspection of both the police service's adherence to the Achieving Best Evidence (ABE) Guidance and Crown Prosecution Service's evidential use of the resultant ABE recorded interview. It also determines whether the guidance is providing children who have been raped and sexually exploited with the means of giving their best evidence, leading to a successful outcome.	Not relevant to BTP.	No
Girls in the criminal Justice System – a joint report	The inspection focused on how effective youth offending services and secure establishments were in: helping to stop girls offending, reducing the risk of harm girls present to other people and helping to make girls less vulnerable.	Circulated to Justice Directorate.	No
Durham – Joint inspection of police custody suites	This report is one of a series on police custody inspections carried out jointly by HMIC and HMI Prisons. The inspection looks at strategy, treatment and conditions, individual rights and	Custody Directorate has been made aware of HMIC's Police Custody: good practice examples which they review accordingly.	No



Report Title	Summary	BTP Position	Report recommendations to be progressed by BTP
	<p>health care.</p> <p>N.B. Where good practice is found on an inspection it is collated in a good practice document published by HMIC. This document is regularly updated.</p>		
<p>West Yorkshire Police – National Child Protection Inspection</p>	<p>The inspection examined child protection in West Yorkshire Police in August 2014. This forms part of the rolling programme of child protection inspections of all Home Office Forces in England and Wales.</p>	<p>Circulated to Crime.</p>	<p>No</p>
<p>The contribution of Offending Teams to the work of the Troubled Families Programme in England</p>	<p>This report sets out the findings of an inspection assessing the effectiveness of the efforts of Youth Offending Services, and their partners, in a achieving the objectives of local Troubled Families initiatives and to disseminate good practice.</p>	<p>Not relevant to BTP.</p>	<p>No</p>
<p>Integrity Matters: An inspection of arrangements to ensure integrity and to provide the capability to tackle corruption in policing</p>	<p>Inspection around police integrity and leadership. HMIC looked at the anti-corruption capability of forces as part of the inspection, including the ability of professional standards department to gather regular, actionable, intelligence on corruption matters.</p>	<p>BTP was part of this inspection, however, the force has not been included in the national report. The report has been circulated to the Head of PSD for informational purposes.</p> <p>As previously noted BTP currently await detailed force feedback report for factual accuracy checking.</p>	<p>There are 14 recommendations in the national report. Where relevant these will be progressed and monitored via an improvement plan currently being developed.</p>
<p>Specialist prosecutors needed for road death prosecutions</p>	<p>Joint thematic inspecting by Her Majesty's Crown Prosecution Service Inspectorate together with HMIC of the investigation and prosecution of offences arising from fatal road traffic incidents by the police and the crown prosecution service respectively.</p>	<p>Not relevant to BTP.</p>	<p>No</p>
<p>Kent Police Custody</p>	<p>This report is one of a series on police custody inspections carried out jointly by HMIC and HMI Prisons. The inspection looks at strategy,</p>	<p>Custody Directorate has been made aware of HMIC's Police Custody: good practice examples which they review accordingly.</p>	<p>No</p>



Report Title	Summary	BTP Position	Report recommendations to be progressed by BTP
	<p>treatment and conditions, individual rights and health care.</p> <p>N.B. Where good practice is found on an inspection it is collated in a good practice document published by HMIC. This document is regularly updated.</p>		
Nottinghamshire Police – National Child Protection Inspection	The inspection examined child protection in Nottinghamshire Police in September 2014. This forms part of the rolling programme of child protection inspections of all Home Office Forces in England and Wales.	Not relevant to BTP.	No
Dyfed-Powys – National Child Protection Inspection	The inspection examined child protection in Nottinghamshire Police in September 2014. This forms part of the rolling programme of child protection inspections of all Home Office Forces in England and Wales.	Not relevant to BTP.	No
West Mercia Police – National Child Protection Inspection	The inspection examined child protection in West Mercia Police in November 2014. This forms part of the rolling programme of child protection inspections of all Home Office Forces in England and Wales.	Not relevant to BTP	No