



Report to: **Audit & Risk Assurance Committee**
Agenda item: **7.1**
Date: **3 December 2015**
Subject: **HMIC Inspection Status Update**
Sponsor: **Deputy Chief Constable**
For: **Information**

1. PURPOSE OF PAPER

1.1 To provide the British Transport Police Authority (BTPA) Audit & Risk Assurance Committee with an update in relation to Her Majesty's Inspectorate of Constabulary (HMIC) activity.

2. BTP INSPECTION STATUS UPDATE

2.1 Stop and Search

2.1.1 Approval has been endorsed by Integrity and Compliance Board members on the 13 November 2015 to cease monitoring the ACPO Template Stop & Search Improvement Plan. BTP has undergone a mapping exercise of the activities/recommendations contained in the improvement plan and have established that majority of the actions to be taken to address various recommendations have been subsumed into other projects e.g. NICHE and/or are now managed as business as usual.

2.1.2 Stop and Search Powers 2 – BTPs draft force feedback report has been reviewed for factual accuracy checking (October 2015) and circulated internally to relevant personnel including BTP's operational lead for stop and search. The date for publication of the report has yet to be communicated by HMIC. It should be noted that the draft report does not include any specific recommendations for BTP, however, a few housekeeping point have been identified by Audit & Compliance and have been picked up by Territorial Policing Support. As a result it is not envisaged that an additional plan will developed following the publication of BTPs report.



2.2 Inspection of Police Custody Suites

2.2.1 Two remaining open recommendations which relate to the development of local drug and alcohol services for detainees and the potential implementation of alcohol and opiate withdrawal screening tools within custody suites. It should be noted that activity has progressed beyond what has been captured in the plan and have merged with other activity being carried out by the Justice Department. The plan will be recommended for closure at the next Integrity & Compliance Board (9 December 2015). Further updates in relation to activities associated with the plan will be reported to Audit & Risk Assurance Committee by exception.

2.2.2 Details in relation to progress against the Improvement Plan can be found at Appendix A. The improvement plan attached was reviewed at ICB in November 2015.

2.3 Crime Data Integrity

2.3.1 Good progress is being made in relation to the implementation of recommendations. Of significant importance the following progress has been made against the plan:

- Processes to improve the exchange of information with train/station operating companies in relation to lost property – work continues to progress in respect to centralizing the recording processes used by the various TOCs when logging in lost property. BTP hope to encourage all TOCs to sign up to recording lost property electronically and then have this data linked to NMPR.
- Single service agreement/contract with TOCs and CCTV providers – there are currently challenges with proposals being agreed by ATOC. There is no appetite for a single collaboration agreement and this has now been referred to ACC B Division and Crime to take up aspiration for a single SLA with the Rail Delivery Group for Policing and Security.

2.3.2 Full details in relation to progress against the Improvement Plan can be found at Appendix B.

2.4 Undercover Policing

2.4.1 Progress against the Undercover Policing Improvement Plan continues to be monitored offline due to the sensitivities of tactics/operations, chaired by the Deputy Chief Constable. The last Undercover Policing Improvement Plan meeting took place on the 13 November 2015.

2.4.2 The improvement plan contains both the National HMIC recommendations and the findings from the Office of Surveillance Commissioners (OSC). In relation to the HMIC recommendations 38 of the 49 recommendations have been closed. In relation to the OSC recommendations all four of the four national recommendations have been closed.

2.5 Police Integrity & Corruption

2.5.1 The recommendations from HMIC's national inspection report *Integrity Matters: An inspection of arrangements to ensure integrity and to provide the capability to tackle corruption in policing* have been developed into an improvement plan combined with BTP's individual force recommendations.

2.5.2 Of significant importance the following progress against the plan has been made:

- Investigations into misconduct by officers and staff – BTP now have a mechanism in place to test this. Cases will be reviewed by members of the professional standards and ethics reference group.
- Public Complaints /Service Recovery – PSD have carried out a full review of service recovery processes and have made changes to the way recording of these take place. PSD Divisional Champions have been briefed accordingly and guidance can be located on the internal intranet.
- Gifts and Hospitality Policy – the policy has been reviewed and final amendments carried out. The policy will be re-published by the next Integrity & Compliance Board which is due to take place on the 9 December 2015.

2.5.3 Full details in relation to progress against the Improvement Plan can be found at Appendix C. The improvement plan attached was reviewed at ICB in November 2015.



2.6 2015/16 Inspections

2.6.1 BTP will participate in the below 2015/16 inspections during Q4, which form part of HMIC PEEL activity. Formal terms of reference/dates have yet to be communicated and agreed between HMIC, BTPA, and BTP.

Topic	Detail
PEEL - effectiveness strand	<ul style="list-style-type: none"> • How effective is the force at preventing crime, anti-social behaviour and keeping people safe? (crime prevention) • How effective is the force at investigating crime and managing offenders? (crime investigation) • How effective is the force at protecting those who are vulnerable from harm and supporting victims? (vulnerability) • How effective is the force at tackling serious and organised crime, and how effective are its arrangements for meeting its national policing responsibilities? (serious and organised – will need to be ‘BTP focused’)
PND audit	Audit of Police National Database

3. MISCELLANEOUS REPORTS PUBLISHED BY HMIC

3.1 Audit & Compliance monitor all national reports published by HMIC. Where BTP has not been involved in the relevant area of inspection these reports are disseminated to the appropriate departments internally in order to ascertain BTP’s current position, risk implications, and impact. Action Plans are put in place locally with recommendations reviewed and monitored at Force level via ICB and/or submitted to BTPA Audit & Risk Assurance Committee for informational purposes. It should be noted that since the last Committee meeting there has been one relevant policing report published by HMIC that require action by BTP as noted in the below table.

4. RECOMMENDATION

4.1 Members to note the update provided in this paper.

Summary of Reports -The below table summaries the reports published by HMIC since the last Audit & Risk Assurance Committee.

Report Title	Summary	BTP Position	Report recommendations to be progressed by BTP
Stop and Search 2 force reports	<p>In March 2015, HMIC published Stop and search powers 2: are the police using them effectively and fairly?. This report looked at the progress forces had made since the previous stop and search report, Stop and Search Powers: Are the police using them effectively and fairly?, published in 2013.</p> <p>As part of this follow-up inspection (January 2015), HMIC carried out in-depth field work in nine forces. Eight force reports were published on 20 August 2015:</p> <p>Cambridgeshire Constabulary, Derbyshire Constabulary, Greater Manchester Police, Hertfordshire Police, Metropolitan Police Service, Northumbria Police, South Wales Police, and West Yorkshire Police</p>	As noted above BTPs draft force feedback report has been reviewed and is due to be published by HMIC shortly, date yet to be communicated.	No
Gloucestershire – Joint inspection of police custody	<p>This report is one of a series on police custody inspections carried out jointly by HMIC and HMI Prisons. The inspection looks at strategy, treatment and conditions, individual rights and health care.</p> <p>N.B. Where good practice is found on an inspection it is collated in a good practice document published by HMIC. This document is regularly updated.</p>	Not Relevant to BTP.	No
Targeting the risk	The inspection gathered information from all 43 police forces in England and Wales, as well as looking in detail at the practices for firearms licensing in 11 representative forces.	Not relevant to BTP - BTP do not conduct firearm licensing	No

Report Title	Summary	BTP Position	Report recommendations to be progressed by BTP
	Inspectors looked at the policies and procedures in the management and provision of over 150,000 section 1 firearms licences that are on issue, covering over half a million firearms and over half a million shotgun certificates that are on issue, covering almost 1.5 million shotguns.		
Devon and Cornwall – National child protection inspection	This inspection examined child protection in Devon and Cornwall Police in May 2015. It is part of a rolling programme of inspections of all police forces in England and Wales.	Not relevant to BTP	No
Cumbria – Joint inspection of police custody	<p>This report is one of a series on police custody inspections carried out jointly by HMIC and HMI Prisons. The inspection looks at strategy, treatment and conditions, individual rights and health care.</p> <p>N.B. Where good practice is found on an inspection it is collated in a good practice document published by HMIC. This document is regularly updated.</p>	Not Relevant to BTP.	No
PEEL: police efficiency 2015	This inspection looked at how well forces understand the demand for their service and how well they match their resources to that demand and provides an assessment of their efficiency. The national overview report is accompanied by separate reports on each force, based on inspections carried out from March to June 2015, and data provided by forces on their spending plans for future years.	Not relevant to BTP.	No
Norfolk – National Child Protection Inspection post-inspection review	This inspection reviewed the progress made in Norfolk Constabulary since the publication of HMIC's Norfolk – National Child Protection Inspection in August 2014. It is part of a rolling	Not relevant to BTP.	No

Report Title	Summary	BTP Position	Report recommendations to be progressed by BTP
	programme of inspections of all police forces in England and Wales.		
Hertfordshire – Joint inspection of police custody	<p>This report is one of a series on police custody inspections carried out jointly by HMIC and HMI Prisons. The inspection looks at strategy, treatment and conditions, individual rights and health care.</p> <p>N.B. Where good practice is found on an inspection it is collated in a good practice document published by HMIC. This document is regularly updated.</p>	Not Relevant to BTP.	No
Witness for the prosecution: Identifying victim and witness vulnerability in criminal case files	The report sets out findings of HM Inspectorate of Constabulary (HMIC) and HM Crown Prosecution Service Inspectorate (HMCPSI) joint inspection report titled 'Witness for the prosecution: Identifying victim and witness vulnerability in criminal case files'.	Circulated to Justice and Safeguarding for review.	No