



Report to: Audit & Risk Assurance Committee
Agenda item: 7.1.3
Date: 3 June 2015
Subject: BTP Strategic Risk Report
Sponsor: Deputy Chief Constable
For: Information

1. PURPOSE OF PAPER

1.1. To advise members of changes to the British Transport Police (BTP) Strategic Risk Register (SRR) since the last submission to the Audit and Risk Assurance Committee (A&RAC) on 6 March 2015. The SRR is attached at Appendix A and was reviewed by the Integrity and Compliance Board (ICB) on 6 May 2015. Following ICB, risk owners have been invited to review and update their respective risk entries.

2. UPDATE ON THE MANAGEMENT OF STRATEGIC RISKS

| | | | | | |
|----------------|-----------------------|-----------------|--------------------|------------------------|------|
| ←Probability ↑ | Almost Certain (4) | | | | |
| | Probable (3) | | | SR70 SR76 SR81 SR83 | SR74 |
| | Possible (2) | | SR75 | SR79 SR80 SR82 SR84 | |
| | Remote (1) | | | SR41 | |
| Impact → | Minor (1) | Moderate (2) | Significant (3) | Major (4) | |

2.1 Updates to the existing strategic risk entries include:

SR65 – Legislative Anomalies – This risk has been closed following the passing of the Infrastructure Act which resolved two of the major anomalies relating to the policing powers that can be used by BTP officers. The provisions of the Act that resolve these came into effect on 12 April 2015.

SR66 – Information Assurance Maturity Model – This risk has been de-escalated on the advice of the Head of Information Management although it remains on the Information Management register. De-escalation has been allowed by the lifting of the requirement for police forces to achieve level 3 on the maturity model, and by progress against the work streams identified in 2014 to deliver maturity which was the subject of an internal review in March 2015.

SR68 – PND Records – This risk has been closed following the completion of the work to upload nominal records to PND. A framework has been emplaced that provides assurance on a weekly basis that nominals are being created alongside intelligence records as business as usual.

SR70 – PNN Replacement and Ability to Connect with PSN - PSN-P Compliance must be in place by 31 October 2015 in order to guarantee continued access to national IL3/4 services. A high level milestone plan has been created, progress against which is reported to SIB each month. The key IT Health Check has been completed and the work required to achieve the required standard has been assessed as manageable by the national accreditor for PSN and data migration sessions are scheduled to take place in September.

SR74 – VDI - The Virtualised Desktop Infrastructure project remains on track to be completed in November 2015. The high and low level design work in relation to the Microsoft platform has been completed. New hardware has been installed and the Microsoft build process has now commenced.

SR76 – ESMCP – DfT have not yet agreed to sponsor BTP's adoption of the replacement for Airwave. This risk was escalated to BTPA's Strategic Risk Register in September 2014. Resolution to this risk and agreement by DfT is still sought.

SR79 – Smith Commission – The last meeting of A&RAC queried the content of the risk description for the SRR entry relating to the outcome of the Smith Commission, and directed that it be focused on the options to ensure an appropriate transfer of powers to the Scottish government. BTP and BTPA have submitted a devolution options paper to

the Scottish government, and the Cabinet Secretary has accepted an invitation to visit BTP D Division.

SR80 – Funding for the Suicide Prevention and Mental Health teams – The Head of Suicide Prevention and Mental Health has confirmed that industry funding for the team is in place for 2015-16. The estimated risk closure date has been extended to 31 March 2016 to take account of the updated funding arrangements.

SR81 – Health and Safety – Following completion of a gap analysis of Health and Safety practice across BTP, the Head of Safety and Wellbeing has developed a Health and Safety strategy that seeks to resolve the areas that were identified. The strategy has been reviewed by both Force Executive Board and the Central Health and Safety Committee.

SR82 – Transformation – This risk was escalated to the Strategic Risk Register in recognition of the all encompassing scope of the Transformation programme. The governance arrangements of the programme were the subject of a recent DfT audit which reported that the arrangements provided moderate assurance. Two key actions, to better document the interrelationship between SIB and other governance boards, and to establish a dependency matrix have been agreed and added to the Strategic Risk entry.

SR83 – Integrated System Programme – This risk was directed for addition to the SRR at ICB and reflects the value of the programme and the far reaching changes it will make across the Force when implemented. The Cabinet Office approved the initial business case, and a proposal in relation to a preferred bidder has been submitted to BTPA.

SR84 – Management of Police Information – This risk was directed for addition to the SRR at ICB and reflects concern that BTP may be at risk of non-compliance with MoPI until the implementation of a MoPI compliant police records system is implemented as part of the Integrated Systems Programme, the detail of which is captured in the governance documentation for SR83 described above.

4. RECOMMENDATIONS

- 4.1. That members note the amendments to the SRR and the update on risk management.