

BTP Management Assurance Review

		March 14 Revision	Outcome - Dec'13	Reason for Revision
1.1	Delegated authority		Full	
1.2	Access to systems	Substantial	Full	The reduction is following the completion of an internal audit of Physical & IT Security.
1.3	Treasury and Cabinet Office controls		Reasonable	
1.4	Agency/ALB sponsorship framework & performance management		Not Applicable	
1.5	Equality duties: Obligations		Full	
1.6	Equality duties: Do not discriminate		Not Applicable	
2.1	Programme and project management		Full	
2.2	Risk identification and risk management		Full	
2.3	Procurement and contract management: Procurement		Full	
2.4	Procurement and contract management: Contract Management		Substantial	
2.5	Business continuity		Full	
3.1	Policy and advice to ministers		Not Applicable	
3.2	Analytical assurance		Not Applicable	
3.3	Impact assessments		Not Applicable	
3.4	Records management		Not Applicable	
4.1	Budget setting and corporate plans		Full	
4.2	Investment appraisal		Full	
4.3	Financial controls	Substantial	Full	The reduction is following the completion of an internal audit of Core Financial Controls
4.4	Financial performance management		Full	
4.5	Grant management		Not Applicable	
4.6	VAT		Full	
4.7	Paying our suppliers and staff - P2P		Substantial	
4.8	Paying our suppliers and staff - manual payments		Full	
4.9	Paying our suppliers and staff - Government Procurement Card (GPC) process		Full	
5.1	Succession planning		Full	
5.2	Our people	Substantial	Full	The reduction is following the completion of an internal audit of HR Core Controls Audit report - Phase two.
5.3	Health and safety: Responsibility for H&S		Full	
5.4	Health and safety: Embedding H&S		Reasonable	
5.5	Operational H&S and Performance (applies to active and frontline business units)		Reasonable	
6.1	Regularity, propriety and conduct		Full	
6.2	Fraud and bribery		Substantial	
7.1	Information Assurance	Reasonable	Full	The reduction is following the completion of the Information Commissioner's Office audit and the internal audit of Physical & IT Security.
7.2	Protective Security		Full	
8.1	Audit recommendations		Full	