

**Risk Costings****Methodology used**

Based on the strategic risk Financial Impact rating

	Assigned Percentage Range		
	Low range	Mid-point	Upper range
Minor	0.0%	2.5%	5%
Moderate	5%	7.5%	10%
Significant	10%	17.5%	25%
Major	25%	62.5%	100%

**BTP Risk**

	Impact	Estimated Costs £k	Risk Cost Low £k	Risk Cost Mid £k	Risk Cost Upper £k	
SR41 - Disaster recovery	4	1,106	277	691	1,106	The impact rating has fallen from major to significant.
SR64 - MTFP			-	-	-	No financial risk is considered to be associated with this at this time
SR65 - Legislative anomalies	2	500	25	38	50	Estimated costs. This has been escalated to the Authority Register as well, but the monetary value is
SR66 - Progress against IAMM	3	500	50	88	125	Cost based on the highest fine the ICO can give.
SR67 - Contractual dispute	4	1,700	425	1,063	1,700	The financial impact has been b
SR68 - Failure to upload information onto PND	4		-	-	-	No financial risk is considered to be associated with this as it is concerning the sharing of information
SR69 - Restructure of BTP	2		-	-	-	No financial risk is considered to be associated with this at this time
SR70 - Replacement of the PNN			-	-	-	No financial risk is considered to be associated with this at this time. Awaiting further information from
SR71 - Health and Safety provision within BTP	4	200	50	125	200	The BTP insurance policies carry a £100k excess. The estimated cost has been based on this. It
SR72 - Accelerated Technology Programme	2	2,000	100	150	200	will be adjusted once further information is available.
SR73 - Access to connect to PSN	3					This is based on information provided by Alan Shrimpton. The total project costs are in excess of
						£10m.
						No financial risk is considered to be associated.
<b>Total - BTP Risk</b>		<b>6,006</b>	<b>927</b>	<b>2,154</b>	<b>3,381</b>	

Costs taken from Service Improvement Board papers, EPS information, BTPA charging model 12/13, FHQ Finance and estimates where not available.

**BTPA Risks**

	Impact	Estimated Costs £k	Risk Cost Low £k	Risk Cost Mid £k	Risk Cost Upper £k	
ASR 50 - Failure to have signed PSA	3		-	-	-	No financial risk is considered to be associated with this, due to the fact that a PSA holder cannot operate without a PSA agreement with BTPA.
ASR 56 - LU Ltd choose to reduce policing levels	3	9,365	937	1,639	2,341	LU contribution to overhead costs is the maximum exposure and would need to be reduced and absorbed. Redundancy costs of staff would be covered by LU PSA.
ASR 63 - EPSSA's not signed prior to April'13	3	3,559	356	623	890	DfT have confirmed that these are not classed as key contracts which would mean that BTPA / BTP would be liable for any redundancy costs if an EPSSA was not transferred to the new franchise holder and the employees could not be absorbed into the establishment. This is also a risk for the TOCs.
ASR 64 - Legislation anomalies	2	as above				No costs shown as included above.
ASR 65 - 2014/15 Charges	4					No cost is associated with this risk as it is assumed that the core PSA holders will have signed the new PSA and therefore there is no risk of a budget shortfall.
<b>Total - BTPA Risk</b>		<b>12,924</b>	<b>1,292</b>	<b>2,262</b>	<b>3,231</b>	
<b>Grand Total</b>		<b>18,930</b>	<b>2,219</b>	<b>4,415</b>	<b>6,612</b>	