



**Report to:** Audit Committee  
**Agenda item:** 6  
**Date:** 20 March 2012  
**Subject:** Risk Management Update  
**Sponsor:** Deputy Chief Constable (Presented by C/Supt Brogden)  
**For:** Information

**1. PURPOSE OF PAPER**

1.1 To advise members of changes to the British Transport Police (BTP) Strategic Risk Register (SRR) since the last submission to Audit Committee on 11 December 2011, and update members on progress in risk management generally. The SRR is attached at Appendix A and was reviewed by BTP’s Force Management Team (FMT) on 27 February 2012.

**2. BACKGROUND**

2.1 The following table indicates the current ratings of all strategic risks:

<b>↑</b> Probability <b>↓</b>	<b>Probable and Imminent (4)</b>		<b>SR63</b>		
	<b>Probable (3)</b>		<b>SR56 SR64</b>	<b>SR62</b>	
	<b>Possible (2)</b>		<b>SR61</b>		
	<b>Remote (1)</b>				<b>SR41</b>
	<b>Impact →</b>	<b>Minor (1)</b>	<b>Moderate (2)</b>	<b>Significant (3)</b>	<b>Major (4)</b>

2.2 One risk has been added to the SRR, and relates to economic uncertainty over the life of the Medium-Term Financial plan. The risk was identified following consideration of the list of risks considered as causing concern for police forces at national level. As the route by

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which BTP is funded is significantly different from Home Office and Scottish police forces, this risk is rated probable with a moderate impact.

2.3 Updates to the remaining Strategic Risks include:

- SR41 – Disaster Recovery Risk Rating Unchanged

The build phase of the work to establish a Disaster Recovery suite in Birmingham has been completed. Fitting out of the suite ahead of testing is scheduled to take two months. Once established, the suite will provide a test environment for new patches and upgrades to be tested prior to implementation on live systems. This should in turn increase the stability and availability of BTP systems.

- SR56 – Cable Theft Risk Rating Unchanged

The theft of cable and other conductive metals remains a high priority for BTP who have participated in two national days of action since the last Audit Committee meeting. The first took place 14 December 2011 and involved BTP working with 22 other police forces visiting a total of 585 scrap metal dealers and making a total of 81 arrests. The second took place on 8 March 2012. In addition, BTP has been heavily involved in work to update legislation relating to the scrap metal industry, with new legislation expected to reach the Statute book in April 2012.

- SR61 – Counter-Terrorism Risk Rating Reduced

The first deployment of trained firearms officers became operational on 24 February 2012. Further training will continue until June 2012. Work has also started to establish a general solution which will allow BTP to license its police officers to carry firearms, to replace the current arrangement by which BTP officers are individually licensed. The risk rating has been reduced from probable and significant impact (3x3 = 9: high risk) to possible with moderate impact (2x2 = 4: moderate risk) on consideration of the work achieved to date by the Counter-Terrorism Support Unit.



• SR62 – Scottish Police Service Risk Rating Reduced

BTP and BTPA have provided a joint response to the Scottish Government consultation in relation to the Police and Fire Reform (Scotland) Bill which sets out to establish a single police service for Scotland by 1 April 2013. Initial concerns that the content of the Bill would reduce the powers of BTP officers have been addressed by recognition by both BTP/A and the Scottish Government of the need for an Order under Section 104 of the Scotland Act. This will also apply to other police services with cross-border jurisdictions including the Ministry of Defence police and the Civil Nuclear Constabulary. The establishment of a single police service for Scotland will effectively terminate ACPOS, and the need to establish strategic engagement with the new police force has already been recognised by ACC McCall. This risk rating has been reduced from probable with significant impact (3x3 = 9: high risk) to remote with significant impact (1x3 = 3: low risk).

• SR63 – WAN Project Risk Rating Increased

The majority of BTP sites have now transferred to the new WAN. However, the current schedule of work extends into the next financial year extending the period of dual running (with its attendant costs) and delaying the full realisation of benefits. All BTP sites were assigned priority ratings according to a three point scale (P1 to P3). All P1 sites are now on the new WAN, however nine P2 and 20 P3 sites will not be transferred before the end of 2012-13. Work to establish the budget provision required to cover the cost of the extension of the old WAN contract is in progress. The risk rating increased from possible with moderate impact (2x2 = 4 moderate risk) to almost certain with moderate impact (4x2 = 8: moderate risk).

2.4 The finalised Statement on Internal Control for 2010-11 identified several areas for development during 2011-12. Progress during the year is summarised below:

- *Encouraging the use of the Partnerships Toolkit to ensure BTP considers the risk management arrangements of its partners, to be satisfied that these are adequate and that they do not jeopardise the achievement of the Strategic Plan.*



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The Risk Management in Partnerships toolkit was re-launched in September and the Corporate Risk Team are working with Areas to support its use.

- *A major review of BTP's Major Incident Manual which acts as the basis for the Forcewide Emergency Plan will be undertaken ahead of the 2012 Olympic Games as part of a wider business continuity management programme for 2011/12 to ensure fitness for purpose. BTP's Major Incident Manual has been published.*
- *Further work towards alignment with BS25999. This will be achieved through full implementation of the business continuity management (BCM) cycle including reviewing and updating the business impact analyses and plans with continued exercising and testing through to 2012. With minor exceptions, all Business Impact Analyses have been updated, and amended where required. Testing of Business Continuity plans was complete by the end of January 2012 and work commenced to establish Business Continuity plans in response to potential disruption due to the Olympic and Paralympic Games. Work to support the Business Continuity Cycle continues with the review and update where required of supporting documentation.*
- *Further development of Incident Response Plans to deal with large scale premises and major processes (including technology) failures. The update and testing of Business Continuity plans, including those for loss of access to premises and technology, will provide Incident Response Plans for a number of scenarios. The outcomes from the programme of testing Business Continuity plans will allow any gaps or issues to be identified and addressed. Work also continues to develop a secondary location which will provide a back up in the case of loss of BTP's server room. The detail for this work is captured in SR41.*
- *Introduction of Vigilance Pro software. Vigilance Pro has now been rolled out to 85% of BTP equipment with minor performance issues on individual machines. An underlying issue with Command and Control had prevented completion of*



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deployment as originally planned. However, a potential solution has been identified and is undergoing testing. Recording of all use of devices such as memory sticks has been in place since 4 January 2012, with approximately 2000 instances of use recorded. Information has been shared with PSD where non-compliance has been identified.

- *Full compliance with the Security Policy Framework (SPF).* The outstanding actions required for full compliance with the Government's SPF will be addressed through work streams associated with the Information Assurance Maturity Model (IAMM). In the future, all 70 mandatory controls will have to be reported on as part of the annual Protective Security & Risk Management Overview return to the ACPO/NPIA Police information Assurance Board.
- *The Fraud Policy is being updated and a review assessment of fraud risks will be undertaken.* Professional Standards Department have published a Fraud policy. BTPA have been included in the consultation and also plan to publish an updated policy. BTP Areas and Departments were required to complete a fraud risk assessment before the end of February as a requirement of the Management Assurance Return. The results have identified a widely held consensus about what the areas of vulnerability toward fraud are, and the measures BTP has in place to manage these. The Fraud Awareness Training package developed by the Home Office is being reviewed by Learning and Development for addition to the BTP suite of e-learning resources.

2.5 The other principal item of note is the development and delivery of two Risk Management workshops for BTP risk champions and representatives.

### **3. OPTIONS**

3.1 No options are identified in this paper which is provided for information.

### **4. FINANCIAL IMPLICATIONS**



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4.1 No new financial implications arise as a consequence of this paper.

**5. DIVERSITY ISSUES**

5.1 The content of this paper relate to the management of risks to business risks and have no direct impact on any issues related to diversity and equality.

**6. RECOMMENDATIONS**

6.1 That members note the amendments to the SRR and the update on risk management.