BRITISH TRANSPORT POLICE

Agenda Item 4

REPORT TO: **Audit and Corporate Governance Committee**

DATE: **15 December 2009**

SUBJECT: **Strategic Risk Management**

SPONSOR: **Director of Finance and Corporate Services**

AUTHOR: **Head of Risk Management & Insurance**

1. PURPOSE OF PAPER

1.1. To present the latest version of British Transport Police's (BTP) Strategic Risk Register (SRR) to the Audit and Corporate Governance (A&CG) Committee. The SRR is attached as Appendix A.

2. SUMMARY TABLE OF RISK RATINGS

2.1. The following table provides a summary of the ratings of all current strategic risks based on the 4 x 4 matrix and definitions:

	Rating	This Report	Previous Report (September 2009)
Red	16	27, 28*	27, 28, 37, 39
	12	11, 25, 26, 29, 33, 36, 37	11, 25, 26, 29, 33, 36
	9	14, 33	14, 21, 32,34, 40
Amber	8	6, 38	6, 38
	6	21, 32, 34, 39**	
	4	31	31
Green	3	40	
	2	7	7, 30
	1		
Total Open Risks:		19	20
New Risks:		0	0
Closed Risks		1 (SR 30)	1
New ALARP Risks		0	2 (1 and 22)

* denotes risk which may considered for closure, or **ALARP

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3. SIGNIFICANT UPDATES TO THE STRATEGIC RISK REGISTER

3.1. SR 6 - The most recent proposal has been reviewed by BTPA lawyers who have resolved some issues regarding the employment relationship. BTPA is summarising with a view to take a decision on whether or not to proceed with various proposals for the future.

3.2. SR 7 – The EPS project team has presented proposals to the HR and Remuneration, and the SBPM, Committees of the British Transport Police Authority (BTPA). These have been accepted and ratification is awaited from BTPA at the December meeting.

3.3. SR11 – Since the declaration of an Influenza Pandemic by the World Health Organisation (WHO), BTP has assembled a pandemic flu Gold Group which decides what action BTP should take in response to the current threat. Areas and departments have established silver groups to manage the position locally. BTP has a graduated response scale coded by colour, the current status of which remains yellow, as it was in September. This risk is being kept under regular review.

3.4. SR14 – The LN Area Custody Project to deliver 20 additional cells has been delayed until June 2010, as a result of complications in the planning process.

3.5. SR21 – The likelihood of this risk being realized has been reduced following the publication of the full Custody policy and standard operating procedure. In addition, the Lay Visitor Scheme has been extended to the new custody suite at Liverpool.

3.6. SR28 – The 2010 police pay award has been incorporated into the updated Medium Term Financial Plan which was agreed at BTPA's Strategy, Budget and Performance Monitoring Committee. Subject to ratification at the BTPA meeting on 9 December, this risk may now warrant closure.

3.7. SR30 - This risk has been closed, as the requirement for BTP to provide briefing material following changes within the government is already treated as "business as normal".

3.8. SR32 – The Operations Department has compiled a Force Operational Threat and Risk Assessment which will inform the development of the BTP Strategic Assessment. In addition, a force Mobilisation Plan has been completed and the Football and intra-Force SOPs updated.

3.9. SR34 – The Operations Department has completed a major review of the demand profile for policing on the rail network. This has led to new standard rosters being proposed and accepted by BTP Federation with a 'going live' date of 10 January 2010.

3.10. SR37 – A formal loan agreement with the Department for Transport to cover any shortfall has been agreed. In addition, work to identify the size of the potential shortfall has been completed. As a result, this risk's current probability rating has been reduced from "major" (4) to "significant" (3).

3.11. SR38 – The Technology Department has started work to identify which company will support BTP's future Wide Area Network. The timetable for this is dictated by EU regulation and is expected to extend into the summer of 2010.

3.12. SR39 - This risk rating has been reduced significantly (16 to 6) following the update from HR that BTP has taken steps to ensure that high risk operational areas, notably Control Centres, are not vulnerable to industrial action by extensive training of Police Officers to carry out operations. This business continuity plan was tested in April 2009 during a short dispute. Current industrial relations with TSSA are good and no threats are currently anticipated. This risk may be regarded as ALARP with the agreement of A&CG.

3.13. SR 40 - The risk rating for this risk has been reduced (9 to 3) following finalisation of the lease for the new training premises at Holloway Road in North London.

5 FINANCIAL IMPLICATIONS

5.1 There are no financial implications relating to this paper other than those implicit with the content of the SRR.

6 DIVERSITY ISSUES

6.1 There are no diversity issues relating to this paper.

7 RISK IMPLICATIONS

7.1 There are no risk implications relating to this paper other than in the SRR.

8 RECOMMENDATIONS

8.1 That members of the A&CG Committee note the updates to the SRR.